

OFFICE OF BUSINESS DIVERSITY AND CIVIL RIGHTS

**APPENDIX A3: MODIFIED PROFESSIONAL, TECHNICAL & ADVISORY SERVICES
DBE PARTICIPATION PLAN AND AFFIRMATION STATEMENT**

PA 4243/ 06-16

Instructions: Submit one MODIFIED DBE PARTICIPATION PLAN AND AFFIRMATION STATEMENT form for each DBE firm used on this Agreement. To avoid undue repetition, the following terms, as used in this Agreement, shall be construed as follows: Bidder/Proposer/Respondent - can be used interchangeably and signify any Contractor, Consultant, Supplier, or Vendor who submits a response to this solicitation.

RFP NUMBER AND TITLE: _____

PROPOSER:

Name of Firm: _____

Address: _____ Telephone: _____

Email Address: _____

DBE:

Name of Firm: _____

Address: _____ Telephone: _____

Description of work to be performed by DBE: _____

Calculation (supply only): _____

The Proposer is committed to utilizing the above-named DBE for the services described above. The estimated dollar value of these services is \$ _____ or _____% of the total Agreement amount of \$ _____. The anticipated start date is _____ and the anticipated completion date is _____.

AFFIRMATION of DBE

The above-named DBE affirms that it will perform the portion of the Agreement for the estimated dollar value as stated above.

By: _____
Signature of Principal or Officer of DBE – Print Name and Title _____ Date _____

If the Proposer does not receive award of the Agreement, any and all representations in this Modified DBE Participation Plan and Affirmation Statement shall be null and void.

I _____ (print name), an officer of _____ (company name), certify that I have read the Appendix A3 Modified Professional, Technical & Advisory Services DBE Participation Plan and Affirmation Statement and the information contained in it is true. I fully understand that any false statement within this submittal may prevent the company and/or the undersigned from being found to be responsible Bidders/Proposers in connection with future agreements. In addition, any false statement within this submittal may subject the company and/or the undersigned to criminal charges in the state and federal courts of New York and New Jersey.

Signature _____ Title _____ Date _____

Please Note: Only 60% of the expenditure to a DBE material supplier will be counted toward the DBE goal. Please show calculation above. Example: \$100,000 x 60% = \$60,000 estimated DBE dollar value of work. Plan cannot be accepted without calculation.

Officer of Proposer must have ACKNOWLEDGEMENT BY NOTARY PUBLIC completed on the reverse side.

ACKNOWLEDGMENT BY NOTARY PUBLIC

PA4243

**APPENDIX A3 - MODIFIED PROFESSIONAL, TECHNICAL AND ADVISORY SERVICES
DBE PARTICIPATION PLAN AND AFFIRMATION STATEMENT (reverse)**

STATE OF _____) SS:
COUNTY OF _____

On the _____ day of _____ in the year 20_____, before me, the above undersigned, personally appeared _____, the _____ of _____, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity.

Name of Notary (print) _____

(Affix Notary Stamp Here)

My Commission Expires _____
Notary Signature _____ (Date) _____