

# ***Governance & Ethics Committee***

December 7, 2010

# *Review/Evaluation of Code of Ethics Adequacy - Staff*

---

- Standards
- Risks Addressed
- Breaches
- Actions of 3<sup>rd</sup> parties
- Incorporated into policies
- Understanding and acceptance by those covered
- Publicity
- Waivers
- Certifications of Compliance

## *Employee Financial Disclosure - Compliance*

---

- 2010-2011 Filing Year
  - 1,831 Required Filers (350 Executive Band & 1,481 Designated Staff)
  - Executive Band Compliance: 100.0 %
  - Designated Staff Compliance: 99.9 %